

CORPORATE SOCIAL RESPONSIBILITY

1. COMPOSITION OF THE CSR COMMITTEE

SN	Name of Director	Designation/Nature of Directorship
1	Mr. Nimesh A. Doshi	Director & Chairman
2	Mr. Nilesh N. Maniar	Director & Member
3	Mr. Himanshu M. Gandhi	Director & Member

2. CSR POLICY:

Anvil Share and Stock Broking Private Limited ('the Company') is registered with Securities and Exchange Board of India ('SEBI') under the Stock Brokers and Sub-Brokers Regulations, 1992 and is a member of BSE Limited and National Stock Exchange of India Limited. The Company acts as a Stock Broker to execute proprietary trades and also trades on behalf of its clients.

A. Objective:

To promote a unified approach to CSR across the Company by identifying causes to work with, thereby ensuring a high social impact.

B. Scope and Applicability:

This policy is applicable across Anvil Share and Stock Broking Private Limited.

C. Measures:

In the aforesaid backdrop, policy on CSR of the Company is broadly framed taking into account the following measures:

The Board of the Company may decide to undertake its CSR activities as recommended by the CSR Committee, through a registered trust/ NGO or registered society or collaborate with a Company having common CSR Policy, pursuant to Section 135 of the Companies Act, 2013 and rules made there-under.

The Company is committed to social wellbeing and focuses on the local area or areas around it where it operates, for spending the amount earmarked for CSR activities

The following is the list of CSR projects or programs which the Company plans to undertake pursuant to Schedule VII of the Companies Act, 2013:

(i) Eradicating hunger, poverty and malnutrition, promoting health care including preventive health care and sanitation including contribution to the Swach Bharat Kosh set-up by the Central Government for the promotion of sanitation and making available safe drinking water.

(ii) promoting education, including special education and employment enhancing vocation skills especially among children, women, elderly and the differently abled and livelihood enhancement projects.

(iii) promoting gender equality, empowering women, setting up homes and hostels for women and orphans; setting up old age homes, day care centers and such other facilities for senior citizens and measures for reducing inequalities faced by socially and economically backward groups.

(iv) ensuring environmental sustainability, ecological balance, protection of flora and fauna, animal welfare, agroforestry, conservation of natural resources and maintaining quality of soil, air and water including contribution to the Clean Ganga Fund set-up by the Central Government for rejuvenation of river Ganga.

(v) protection of national heritage, art and culture including restoration of buildings and sites of historical importance and works of art; setting up public libraries; promotion and development of traditional art and handicrafts;

(vi) measures for the benefit of armed forces veterans, war widows and their dependents, Central Armed Police Forces (CAPF) and Central Para Military Forces (CPMF) veterans, and their dependents including widows;

(vii) training to promote rural sports, nationally recognized sports, Paralympic sports and Olympic sports

(viii) contribution to the prime minister's national relief fund or Prime Minister's Citizen Assistance and Relief in Emergency Situations Fund (PM CARES Fund) or any other fund set up by the central govt. for socio economic development and relief and welfare of the schedule caste, tribes, other backward classes, minorities and women;

(ix) (a) Contribution to incubators or research and development projects in the field of science, technology, engineering and medicine, funded by the Central Government or State Government or Public Sector Undertaking or any agency of the Central Government or State Government; and

(b) Contributions to public funded Universities; Indian Institute of Technology (IITs); National Laboratories and autonomous bodies established under Department of Atomic Energy (DAE); Department of Biotechnology (DBT); Department of Science and Technology (DST); Department of Pharmaceuticals; Ministry of Ayurveda, Yoga and Naturopathy, Unani, Siddha and Homoeopathy (AYUSH); Ministry of Electronics and Information Technology and other bodies, namely Defense Research and Development Organization (DRDO); Indian Council of

Agricultural Research (ICAR); Indian Council of Medical Research (ICMR) and Council of Scientific and Industrial Research (CSIR), engaged in conducting research in science, technology, engineering and medicine aimed at promoting Sustainable Development Goals (SDGs).

(x) rural development projects

(xi) slum area development.

(xii) disaster management, including relief, rehabilitation and reconstruction activities.

(xiii) Spending towards COVID -19; creating health infrastructure for COVID care, establishment of medical oxygen generation and storage plants, manufacturing and supply of Oxygen concentrators, ventilators, cylinders and other medical equipment for countering COVID-19 or similar such activities, contribution to specified research and development projects as well as contribution to public funded universities and certain Organizations engaged in conducting research in science, technology, engineering, and medicine etc.

D. Total Outlay:

From 01st April 2014, in line with the Companies Act 2013 (the Act), the Company pledges to contribute at least 2% of the average net profits of the Company made during the 3 immediately preceding financial years specifically towards CSR initiatives. For this purpose, the average net profit shall be calculated in accordance with the provisions of section 198 of the act read with the Companies (Corporate Social Responsibility Policy) Rules, 2014.

The surplus arising out of the CSR activities will not be considered as a part of the business profits of the Company and shall be ploughed back into the same project, or shall be transferred to the unspent CSR Account and it should be spent in pursuance of this CSR policy and annual action plan of the Company, or the Company may transfer such surplus amount to a Fund specified in Schedule VII of the Act, within a period of six months of the expiry of the financial year. Any unspent amount, other than unspent amount relating to an ongoing project, will be transferred to a Fund specified in Schedule VII, within a period of six months of the expiry of the financial year. Further, unspent CSR funds of ongoing projects will be transferred within a period of 30 days from the end of the financial year to a special account opened by the company in any scheduled bank called the “Unspent Corporate Social Responsibility Account”. Such amount shall be spent by the company towards CSR within a period of 3 financial years from the date of such transfer, failing which, the company shall transfer the same to a Fund specified in Schedule VII, within a period of 30 days from the date of completion of the third financial year.

E. Governance and Monitoring Process:

The Company has a well-defined and robust governance structure to oversee the implementation of the CSR Policy and monitoring of CSR projects as per the requirements of Section 135 of the Companies Act, 2013.

F. The CSR Annual Action Plan shall include the following:-

- a) the list of CSR projects or programmes that are approved to be undertaken in areas or subjects specified in Schedule VII of the Act,
- b) the manner of execution of such projects or programmes,
- c) the modalities of utilization of funds and implementation schedules for the projects or programmes,
- d) monitoring and reporting mechanism for the projects or programmes and
- e) details of need and impact assessment, if any, for the projects undertaken by the company.

3. PROJECTS APPROVED-

The Company has been working in the area of promotion of education and accordingly it has been donating money to Foundation for Liberal & Management Education Society (CSR Registration Number CSR00008246) for Supporting the establishment of a center of learning higher education. The Company has also provided donations to Bochasanwasi Shree Akshar Purushottam Public Charitable Trust(CSR Registration Number CSR00004193 to promote healthcare during the times of COVID.

----XXX----